STERLING WEALTH ADVISORS®

Prospective-Client Questionnaire

The following information is strictly confidential and will not be disclosed to anyone without your consent.

General Information:

Date:

Prospect's Name:

Birth Date:

Co-Prospect's Name:

Birth Date:

Address:

County:

Phone #s: (home) (cell) (cell 2)

Email:

Email:

If you own a business, please provide the name:

Please check one:

Single ☐ Married ☐ Divorced ☐ Widowed ☐ Domestic Partner ☐

Family:

Child(ren)'s Name	Address	Birth Date

Number of Grandchildren:

Grandchildren's Ages:

Are your parent's living?

Prospect: Yes ☐ No ☐

Co-Prospect: Yes ☐ No ☐

Occupation:

Prospect's Occupation:

Employer:

Co-Prospect's Occupation:

Employer:

Financial Planning Priorities and Goals:

What are your three (3) most important financial concerns or goals?

1.
2.
3.

Assets (Please estimate the following):

Checking Accounts:

Savings Accounts:

CDs, Savings Bonds:

Brokerage Accounts:
(includes stocks, bonds, mutual funds, money market accounts, etc.)

Retirement Accounts:
(includes IRAs, 401(k)s, 403(b)s, annuities, etc.)

Your Home:

Other Real Estate:

Other Assets:

Foreign Assets *(Please estimate the value of the following)*:

Checking Accounts:

Savings Accounts:

CDs, Savings Bonds:

Brokerage Accounts:
(includes stocks, bonds, mutual funds, money market accounts, etc.)

Retirement Accounts:
(includes IRAs, 401(k)s, 403(b)s, annuities, etc.)

Your Home:

Other Real Estate:

Other Assets:

Liabilities *(Please estimate the current balance of the following):*

Primary Mortgage:

Other Mortgages:

Auto Loans:

Home Equity Loan:

Education Loan:

Credit Card Balances:
(list only if they are not paid off monthly)

Alimony:

Other Debts:

Annual Earned Income:

Client	*Co-Client*
Salary:	Salary:
Commission:	Commission:
Bonus:	Bonus:
Social Security:	Social Security:
Pension	Pension
Other:	Other:

What percentage of pension goes to spouse when pension holder passes?

Other Information:

Have you ever been unhappy with the recommendation of a stockholder, insurance agent, and or financial advisor or consultant? If yes, please explain below:

Who may we thank you for your referral?

Is there any other information you would like to provide at this time?

Thank you!